RESEARCH ARTICLE

Is vitamin D a modifiable risk factor for dental caries? [version 1; peer review: 1 approved, 1 approved with reservations]

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Abstract

Background: Prior observational studies have reported that higher levels of vitamin D are associated with decreased caries risk in children. However, these studies are prone to bias and confounding so do not provide causal inference. Genetic variants associated with a risk factor of interest can be used as proxies, in a Mendelian randomization (MR) analysis, to test for causal association with an outcome. The objective was to estimate the causal association between serum 25-hydroxyvitamin D (25(OH)D) (the commonly measured vitamin D metabolite in blood) and dental caries using a MR approach which estimates the causal effect of an exposure on an outcome.

Methods: A total of 79 genetic variants reliably associated with 25(OH)D were identified from genome-wide association studies and used as a proxy measure of 25(OH)D. The association of this proxy measure with three outcome measures was tested; specifically: caries in primary teeth (n=17,035, aged 3-12 years), caries in permanent teeth in childhood and adolescence (n=13,386, aged 6-18 years), and caries severity in adulthood proxied by decayed, missing and filled tooth surfaces (DMFS) counts (n=26,792, aged 18-93 years).

Results: The estimated causal effect of a one standard deviation increase in natural log-transformed 25(OH)D could be summarized as an odds ratio of 1.06 (95%CI: 0.81, 1.31; P=0.66) for caries in primary teeth and 1.00 (95%CI: 0.76, 1.23; P=0.97) for caries in permanent teeth in childhood and adolescence. In adults, the estimated casual effect of a one standard deviation increase in natural log-transformed 25(OH)D was 0.31 fewer affected tooth surfaces (95%CI: from 1.81 fewer DMFS to 1.19 more DMFS; P=0.68)

Conclusions: The MR-derived effect estimates for these three

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measures are small in magnitude with wide confidence intervals and do not provide evidence against the null hypothesis of no effect.

Keywords

Vitamin D, Dental caries, Mendelian randomization, 25-hydroxyvitamin D

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Author roles: **Dodhia SA:** Conceptualization, Data Curation, Formal Analysis, Writing – Original Draft Preparation, Writing – Review & Editing; **West NX:** Writing – Review & Editing; **Thomas SJ:** Writing – Review & Editing; **Timpson NJ:** Conceptualization, Writing – Review & Editing; **Johansson I:** Writing – Review & Editing; **Lif Holgerson P:** Writing – Review & Editing; **Dudding T:** Conceptualization, Data Curation, Formal Analysis, Supervision, Writing – Review & Editing; **Haworth S:** Conceptualization, Data Curation, Formal Analysis, Supervision, Writing – Review & Editing

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Introduction

Dental caries is a disease process which can lead to irreversible damage to tooth tissues. Initially hydroxyapatite crystals in the enamel, dentine and cementum tissues are demineralized when acidic by-products from bacterial fermentation of simple carbohydrates lead to low pH and mineral undersaturation in the tooth surrounding fluids. Eventually demineralization is followed by a proteolytic destruction of the organic substances of the tooth tissues and a cavity is formed (Selwitz *et al.*, 2007).

Both genetic and environmental risk factors influence dental caries and there is a need to identify modifiable risk factors which could be targets for effective interventions. Vitamin D has been suggested as a potential modifiable risk factor. There is an inverse association between serum 25-hydroxyvitamin D (25(OH)D) (the commonly measured vitamin D metabolite in blood) and caries in childhood (Kim *et al.*, 2018; Schroth *et al.*, 2016), and potential mechanisms, including tooth mineralization and antibacterial effects, have been suggested. Vitamin D stimulates absorption of calcium (Veldurthy *et al.*, 2016), and phosphate (Fukumoto, 2014), so may be relevant to hydroxyapatite crystal structure and mineralization. Vitamin D induces genomic effects in odontoblasts (dentine formation) and ameloblasts (enamel formation) through vitamin D receptor signalling (Zhang *et al.*, 2008). Therefore, vitamin D deficiencies during tooth formation may cause the hard tissues of the tooth to be more sensitive to demineralization. Vitamin D stimulates the production of antimicrobial peptides, such as cathelicidins, which are effective against opportunistic gram-positive and gram-negative bacteria in the tooth biofilm (Youssef *et al.*, 2011). In addition, insufficient levels of vitamin D have been reported to be associated with atrophy of the salivary glands with impaired saliva secretion and increased caries risk (Scardina & Messina, 2012).

These studies do not allow for direct causal inference but have led to the hypothesis that vitamin D supplementation in childhood may be a way to prevent dental caries at a population level. To test this hypothesis, controlled trials have been conducted. A meta-analysis of controlled clinical trials supported causal effects of vitamin D, but also highlighted substantial heterogeneity in estimates, risk of bias in individual studies and strong evidence for publication bias in the available literature (Hujoel, 2013). There is therefore a need for additional sources of evidence to help clarify the causal effect of vitamin D in dental caries.

Mendelian randomization (MR) is an alternative way to estimate causal effects, when randomized controlled trials are unfeasible or inconclusive. This method uses genetic variation, which is reliably associated with the exposure of interest, as a proxy measure of the exposure. These genetic variations are often single base pair changes in germline genotypes, termed single nucleotide polymorphisms or SNPs. A higher number of 25(OH)D increasing variants is associated with higher average serum 25(OH)D concentration. Due to the essentially random assortment of alleles during meiosis, the proxy measure of 25(OH)D concentration is unrelated to traditional confounding factors at population level. For example, individuals with two

25(OH)D increasing alleles at a particular SNP will smoke no more or less than those who have zero 25(OH)D increasing alleles at the same SNP. This is in contrast to serum 25(OH)D measures which, at a population level, show a strong inverse association with smoking (Kassi *et al.*, 2015).

In addition, since disease processes (caries in this case) cannot alter germline genotypes, the direction of causation is from the proxy measure of exposure to the outcome, therefore reducing the risk of bias from reverse causation (Lawlor *et al.*, 2008). MR has been increasingly used over the past decade, to provide more robust causal effect estimates for a range of risk factors and health outcomes (Davies *et al.*, 2018).

A previous study has assessed the causal effect of 25(OH)D on caries using MR, but was underpowered to provide precise estimates or fully interrogate the assumptions of the MR method (Dudding *et al.*, 2015). Since then, developments in methodology (Bowden *et al.*, 2015; Bowden *et al.*, 2016) and the understanding of vitamin D genetics (Jiang *et al.*, 2018; Manousaki *et al.*, 2017; Manousaki *et al.*, 2020) has resulted in an increase in the strength of its genetic proxy. There have also been larger studies with dental caries traits and genetic data published (Haworth *et al.*, 2018; Shungin *et al.*, 2019). These developments create the opportunity to re-examine the association of 25(OH)D and dental caries.

The objective of this study is to use MR to assess the causal role of serum 25(OH)D on three caries related traits (caries in the primary dentition, caries in the permanent dentition in children and adolescents, and caries severity in adults) using data from published genome-wide association studies (GWASs).

Methods

SNP-exposure: identifying SNPs as 25(OH)D proxies

SNPs to be used as proxies for 25(OH)D exposure were identified as those that a) were strongly associated with 25(OH)D exposure (defined as $P \leq 5 \times 10^{-8}$ in at least one published GWAS); b) were identified in a population of European ancestry participants; c) were not in the same genomic region as another 25(OH)D associated SNP, unless there was published evidence showing that SNPs had independent effects and d) had a minor allele frequency of 0.05 (5%) or above in the 25(OH)D GWAS and the caries outcome GWASs. For each variant, information about the strength and direction of association with 25(OH)D was extracted and recorded alongside other information such as variant name and nearest gene.

SNP-outcome: obtaining estimates of the association between 25(OH)D-proxying SNPs and caries outcomes

GWASs test for association between millions of SNPs across the genome and diseases such as dental caries. Existing GWAS studies can therefore be used to obtain estimates of the association between genetic proxies for an exposure of interest and an outcome. For this investigation, the estimated effects of 25(OH)D-proxying SNPs on dental caries were obtained by extracting association statistics for these variants from published results.

SNP-carries association estimates for caries in the primary dentition in children and caries in the permanent dentition in adolescents were obtained from a published meta-analysis. This meta-analysis was originally carried out in a consortium, including cohort studies in the USA, UK, Denmark, Finland, the Netherlands, Germany and Australia (Haworth *et al.*, 2018). Each of these studies classified participants as caries-free or caries-affected using clinical examination, index linkage to pre-existing dental records or using intra-oral photographs. One contributing study used child- and parent-reported questionnaires to classify children as caries-free or caries-affected. The relationship between GWAS derived SNPs and caries status was estimated in a logistic regression framework accounting for co-variables such as age, sex and genetic principal components, which aim to control for variation in genetic data which is due to genetic ancestry. The log-odds ratio (OR) effect estimates were combined in a fixed-effects genome-wide meta-analysis. The principal analysis included all studies with phenotypic data, and a sensitivity analysis was performed which excluded participants with questionnaire-derived caries status. Results from the principal meta-analysis were download from the University of Bristol research data repository and are available at <https://doi.org/10.5523/bris.pkqcnil6e9ju2nyreblt3mvwf> (Haworth & Timpson, 2018). Downloaded data were used to extract the SNP-outcome information about the vitamin D-associated SNPs, for caries in the primary and caries in the permanent dentition in children and adolescents, respectively.

SNP-carries association estimates for decayed, missing and filled tooth surfaces (DMFS) were obtained from a genome-wide meta analysis of adult cohort studies based in the USA, Germany, Sweden and Finland (Shungin *et al.*, 2019). In each study, DMFS scores were calculated excluding third molar teeth and root caries, and were derived from surface-level dental charts, which were either obtained as part of the study protocol or obtained via index linkage to pre-existing dental records. Within each study, DMFS scores were residualized on covariates including age, age squared and sex, then residuals were standardized to a mean of 0 and standard deviation of 1. Subsequently, the relationship between SNPs and transformed DMFS scores was estimated using a linear regression framework, and beta coefficients were combined across studies using a genome-wide fixed-effects meta-analysis. The principal analysis included all studies, while a sensitivity analysis was performed excluding one study of Hispanic/Latino participants. Results of the primary and sensitivity meta-analyses were downloaded from the University of Bristol research data repository at <https://doi.org/10.5523/bris.2j2rqgzdxlq02oqbb4vmcnc2> (Haworth, 2019). This dataset was used to extract the SNP-outcome information about the 25(OH)D-associated SNPs for the caries severity in adults outcome.

Causal effect estimation

Intuitively, if SNPs which are proxies for 25(OH)D are associated with caries outcomes then there must be an effect of 25(OH)D on caries. This intuition is formalized using a series of statistical tests under an analytical paradigm termed

two-sample MR (Burgess *et al.*, 2015). In this investigation, the “TwoSampleMR” package version 0.5.4 in R version 3.4.3 (Hemani *et al.*, 2016) was used. For the primary analysis, causal effect estimates were obtained separately for each SNP and then combined using inverse variance weighted (IVW) meta-analyses to estimate the overall causal effect of serum 25(OH)D on each of the three outcomes.

For caries in the primary dentition and permanent dentition in children and adolescents each causal effect estimate (β_{xy}) and standard error (SE) from the two-sample MR was converted into an interpretable OR with 95% confidence intervals (CIs) as follows:

$$\text{Causal OR} = e^{\beta_{xy}}$$

$$95\% \text{ CIs} = e^{\left(\beta_{xy} \pm (1.96 \times \text{SE})\right)}$$

As the causal effect estimates for DMFS are not in meaningful units, the β_{xy} and accompanying CIs were back transformed to give number of affected tooth surfaces. As reference, 19.87 DMFS corresponded to a 1-unit change in the transformed DMFS score in a population of 28,691 adults (aged 30–75 years) in the Swedish GLIDE database who were originally recruited through the Northern Sweden Health and Disease Study (Hallmans *et al.*, 2003). This value was used to transform the causal effect and corresponding CIs from a standardized scale to a tooth surface scale with 95% CIs as follows:

$$\text{Causally affected tooth surfaces (DMFS)} = \beta_{xy} \times 19.87$$

$$95\% \text{ CIs} = 19.87 \left(\beta_{xy} \pm (1.96 \times \text{SE})\right)$$

Leave-one-out sensitivity analysis

For each outcome, a leave-one-out sensitivity analysis was undertaken. In this analysis, the IVW analysis was carried out iteratively omitting one 25(OH)D proxying SNP in turn. This analysis aims to identify SNPs with outlying causal effect estimates and ensures that the overall estimate of the causal effect of 25(OH)D on dental caries was not driven by a single or few SNPs with large effects. In cases where a small number of SNPs have large effects on caries (but not on 25(OH)D), this may imply that these SNPs act on caries through mechanisms unrelated to vitamin D (pleiotropic effects) and violate the core assumptions of the MR method.

Weighted median sensitivity analysis

For each outcome, a weighted median (WM) sensitivity analysis of the SNPs was undertaken. The WM provides a consistent estimate of the causal effect, provided that 50% of the weight in the analysis stems from non-pleiotropic, and therefore valid, variants.

MR-Egger regression sensitivity analysis

For each outcome, a MR-Egger regression of the SNP-outcome on the SNP-exposure with the y-intercept unconstrained was

carried out. The y-intercept tests for the presence of directional pleiotropy, since when the SNP-exposure association is zero the SNP-outcome association should also be zero. The MR-Egger regressions rely on the instrument strength independent of direct effect (InSIDE) assumption that the strength of the SNP-exposure association should not correlate with the strength of any pleiotropic effects across the instrumental variants (Bowden *et al.*, 2015).

Strength of instrumental variables

Instrumental variable (IV) estimates can suffer from weak instrument bias, which arises when confounders in the genotypic subgroups in samples are not perfectly balanced. If the IVs are weak, they explain less variation in the phenotype and therefore the difference in confounders between the subgroups might explain more of the variation in phenotype. If this bias is present, a confounded false-positive association between exposure and outcome may be found (Burgess *et al.*, 2011). The F-statistic from a regression of 25(OH)D on the proxy SNPs provides an indication of the reliability of the correlation between instrument and intermediate (Burgess *et al.*, 2011). F-statistics were generated for each outcome using a free web-based MR F-statistic calculator (<http://cnsngenomics.com/shiny/mRnd/>) to provide some comment on the strength of the genetic instruments in each analysis.

Power

Post-hoc power calculations were carried out for each outcome to assess the causal effect estimate sizes that this study was powered to detect. The total variance explained of the 25(OH)D proxy measure was calculated by summing the variance explained for each independent SNP using the formula: variance explained $\approx 2\beta^2 f(1 - f)$, where β and f denote the effect estimate and the effect allele frequency of the allele on a standardized phenotype, respectively (Park *et al.*, 2010). A web-based MR power calculator was used (<http://cnsngenomics.com/shiny/mRnd/>).

Ethical approval

This analysis of previously published data was conducted in accordance with principles described in the Helsinki declaration and all additional requirements within the United Kingdom. All participants participating in the published studies which contributed to this analysis gave informed consent, as described in the respective publications.

Results

SNP-exposure: identifying SNPs as 25(OH)D proxies

In total, 83 SNPs met the criteria for inclusion as proxies for 25(OH)D; these were selected from the most recent published GWAS of 25(OH)D (Manousaki *et al.*, 2020). However, seven SNPs associated with 25(OH)D identified from the SNP-exposure stage were not present in the outcome data for all three traits and one SNP (rs200454003) was not present in the outcome data for caries in primary teeth and caries in permanent teeth in paediatric populations. Proxy SNPs with the highest linkage disequilibrium in European populations (r^2) to the seven missing SNPs were identified. Proxy SNPs were used for four SNPs (rs2934744, rs7650253, rs3822868, rs201501563)

where the r^2 was 0.7 or greater. The remaining three missing SNPs (rs145432346, rs200641845, rs3775150) were excluded from the exposure data as no suitable proxy was identified, leaving 79 SNPs for analysis for caries in primary teeth and caries in permanent teeth in paediatric populations.

For DMFS, two SNPs (rs10127775 and rs10832289) were removed for being palindromic with intermediate allele frequencies (to harmonize the data so that the effect of the variants both exposure and outcome corresponded to the same allele), leaving 78 SNPs for analysis. Information about the 79 SNPs is provided in Table 1. In total, these SNPs explain approximately 4.4% of the total variation in 25(OH)D levels in populations of European ancestry.

SNP-outcome: obtaining estimates of the association between 25(OH)D-proxying SNPs and caries outcomes

For caries in primary teeth, binary data for 17,035 children (aged 3–12 years) were available from nine studies of European ancestry children. Overall, 41% of participants were classified as having caries (6,922 caries-affected, 10,113 caries-free). For caries in the permanent dentition in children and adolescents, binary data on 13,386 participants (aged 6–18 years) were available from seven studies of European ancestry. In total, 44% were classified as having caries (5,875 caries-affected, 7,511 caries-free). For DMFS, quantitative data on 26,792 adults (aged 18–93 years) were available from nine studies (eight studies were of European ancestry and one study was of admixed Hispanic/Latino ancestry). For each of these studies, SNP-caries effect estimate summary statistics were extracted for the 81 SNPs associated with 25(OH)D (Table 2).

Causal effect estimation

In paediatric populations, the estimated causal effect of 25(OH)D on caries in primary and permanent teeth was essentially null (Table 3). For caries in primary teeth the estimated effect was small in magnitude with CIs crossing the null (OR = 1.06 [95%CI: 0.81, 1.31], $P = 0.66$). For caries in permanent teeth the estimated effect was of no causal impact of 25(OH)D (OR = 1.00 [95%CI: 0.76, 1.23], $P = 0.97$).

In adult populations, the estimated causal effect of 25(OH)D was in the direction of a small protective effect, with higher 25(OH)D associated with fewer caries-affected tooth surfaces (0.31 fewer caries-affected tooth surfaces [95%CI: from 1.81 fewer DMFS to 1.19 more DMFS, $P = 0.68$). This effect was again small in magnitude and the CIs did not provide evidence against the null hypothesis of no effect (Table 3).

Leave-one-out sensitivity analysis

Leave-one-out sensitivity analysis suggested that no single SNP was strongly driving the IVW point estimates for each trait, since there were no cases where excluding one SNP resulted in dramatic changes in the overall result. In addition, all the CIs overlapped with the other causal estimates in each sensitivity analysis, further suggesting that all SNPs estimated a single common exposure (Figure 1–Figure 3).

Table 1. Summary of the Vitamin D SNPs extracted for analysis.

SNP	Chromosome	Position [†]	Nearest Gene	Allele 1	Allele 2	Allele 1 Frequency	Beta	SE	P value*
rs6698680	1	2329661	<i>RER1</i>	G	A	0.464	-0.012	0.002	8.99E-10
rs3750296	1	17559656	<i>PADI1</i>	C	G	0.341	-0.021	0.002	2.09E-24
rs7519574	1	34726552	<i>RP4-657M3.2</i>	A	G	0.182	0.017	0.003	2.09E-11
rs56044892	1	41830086	<i>FOXO6</i>	T	C	0.211	0.015	0.002	2.85E-10
rs2934744	1	63048045	<i>DOCK7</i>	A	C	0.644	-0.022	0.002	3.96E-26
rs7528419	1	109817192	<i>CELSR2</i>	G	A	0.225	0.019	0.002	2.41E-16
rs3768013	1	150815411	<i>ARNT</i>	A	G	0.370	-0.015	0.002	1.37E-13
rs11264360	1	155284586	<i>FDPS</i>	A	T	0.243	0.018	0.002	3.34E-15
rs867772	1	220972343	<i>MARC_1</i>	G	A	0.682	-0.014	0.002	3.64E-11
rs10127775	1	230295789	<i>GALNT2</i>	T	A	0.605	0.012	0.002	3.43E-09
rs12997242	2	21381177	<i>TDRD15</i>	A	G	0.438	-0.013	0.002	2.23E-10
rs11127048	2	27752463	<i>GCKR</i>	A	G	0.617	0.018	0.002	6.41E-19
rs6724965	2	101440151	<i>NPAS2</i>	G	A	0.172	-0.017	0.003	1.29E-10
rs7569755	2	118648261	<i>HTR5BP</i>	A	G	0.292	0.014	0.002	8.03E-11
rs1047891	2	211540507	<i>CPS1</i>	A	C	0.316	-0.014	0.002	1.16E-11
rs2011425	2	234627608	<i>UGT1A4</i>	G	T	0.079	-0.046	0.004	9.66E-38
rs7650253	3	49431160	<i>RHOA</i>	A	T	0.690	0.015	0.002	1.76E-10
rs1972994	3	85631142	<i>CADM2</i>	T	A	0.647	-0.018	0.002	7.99E-18
rs6438900	3	125148287	<i>MRPL3</i>	G	C	0.261	0.014	0.002	9.59E-10
rs6773343	3	141825598	<i>TFDP2</i>	T	C	0.720	0.013	0.002	5.20E-09
rs78649910	4	3482213	<i>DOK7</i>	A	T	0.110	-0.018	0.003	4.32E-09
rs7699711	4	69947596	<i>UGT2B7</i>	T	G	0.455	-0.029	0.002	6.97E-49
rs145432346	4	72575017	GC	C	T	0.826	0.109	0.003	6.78E-286
rs705117	4	72608115	GC	T	C	0.849	-0.034	0.003	1.71E-36
rs11723621	4	72615362	GC	G	A	0.291	-0.187	0.002	2.903E-1689
rs200641845	4	72620895	GC	T	A	0.545	0.018	0.002	6.92E-14
rs3775150	4	72640750	GC	C	T	0.262	-0.091	0.002	3.90E-295
rs222026	4	72643760	GC	T	A	0.871	-0.052	0.003	6.98E-68
rs186881826	4	72785743	GC	A	T	0.223	0.046	0.002	3.64E-77
rs58073039	4	88287363	<i>HSD17B11</i>	G	A	0.298	-0.014	0.002	2.16E-11
rs7718395	5	118652574	<i>TNFAIP8</i>	G	C	0.320	0.013	0.002	1.67E-09
rs3822868	6	131934986	<i>MED23</i>	G	A	0.835	0.022	0.003	1.41E-15
rs111529171	7	21571932	<i>DNAH11</i>	C	G	0.216	-0.015	0.002	6.24E-11
rs1011468	7	104613791	<i>LINC01004</i>	A	G	0.476	-0.014	0.002	1.35E-12

SNP	Chromosome	Position [†]	Nearest Gene	Allele 1	Allele 2	Allele 1 Frequency	Beta	SE	P value [*]
rs1858889	7	107117447	COG5	C	A	0.501	0.013	0.002	3.85E-11
rs804280	8	11612698	GATA4	A	C	0.582	0.013	0.002	4.43E-11
rs34726834	8	25889606	EBF2	T	C	0.254	0.014	0.002	6.65E-10
rs7828742	8	116960729	LINC00536	G	A	0.597	-0.022	0.002	3.06E-28
rs10818769	9	125719923	DNAH11	G	C	0.857	-0.017	0.003	3.35E-09
rs532436	9	136149830	ABO	A	G	0.184	-0.015	0.003	2.17E-09
rs10887718	10	82042624	MAT1A	T	C	0.527	-0.012	0.002	1.44E-10
rs10832218	11	14181174	CYP2R1	C	T	0.198	-0.034	0.003	7.09E-32
rs10832289	11	14669496	CYP2R1	T	A	0.410	-0.069	0.002	2.03E-266
rs201501563	11	14882470	CYP2R1	T	C	0.122	-0.066	0.004	9.17E-67
rs523583	11	66070146	TMEM151A	C	A	0.469	0.012	0.002	5.58E-10
rs12803256	11	71132868	FLJ42102	G	A	0.771	0.100	0.002	8.599E-407
rs200454003	11	71228990	FLJ42102	T	C	0.265	-0.087	0.003	3.68E-256
rs10793129	11	75459865	RP11-21L23.4	A	G	0.090	0.024	0.003	1.64E-12
rs1149605	11	76485216	RP11-21L23.4	C	T	0.171	0.019	0.003	7.34E-14
rs964184	11	116648917	ZPR1	C	G	0.864	0.040	0.003	5.11E-44
rs2847500	11	120114421	ZPR1	A	G	0.124	-0.021	0.003	7.79E-13
rs12317268	12	21352541	SLCO1B1	G	A	0.152	-0.019	0.003	9.15E-12
rs9668081	12	38602911	FAM166AP9	T	C	0.471	0.012	0.002	5.38E-09
rs10859995	12	96375682	HAL	C	T	0.581	-0.039	0.002	7.03E-89
rs8018720	14	39556185	SEC23A	C	G	0.820	-0.032	0.003	4.04E-36
rs261291	15	58680178	LIPC	C	T	0.356	-0.022	0.002	2.89E-28
rs1800588	15	58723675	LIPC	T	C	0.215	-0.030	0.002	2.65E-36
rs17765311	15	63789952	AC007950.2	C	A	0.345	-0.015	0.002	1.35E-13
rs62007299	15	77711719	PEAK1	A	G	0.709	-0.014	0.002	1.69E-11
rs8063706	16	11909552	BCAR4	T	A	0.273	0.013	0.002	3.64E-09
rs77924615	16	20392332	PDILT	A	G	0.198	-0.016	0.002	1.46E-10
rs71383766	16	30930233	FBXL19	T	C	0.420	0.013	0.002	1.15E-09
rs1800775	16	56995236	CETP	A	C	0.486	-0.017	0.002	1.56E-17
rs2909218	17	66464546	RP11-120M18.2	T	C	0.793	0.017	0.002	2.81E-12
rs8091117	18	28919794	DSG1	A	C	0.065	-0.024	0.004	1.03E-09
rs2037511	18	61366207	SERPINB11	A	G	0.165	0.016	0.003	9.29E-10
rs57631352	19	4338173	STAP2	G	A	0.297	-0.013	0.002	1.48E-09
rs73015021	19	11192915	LDLR	G	A	0.121	0.023	0.003	1.15E-14
rs10500209	19	11979164	LDLR	C	T	0.282	-0.013	0.002	6.18E-10

SNP	Chromosome	Position [†]	Nearest Gene	Allele 1	Allele 2	Allele 1 Frequency	Beta	SE	P value*
rs58542926	19	19379549	TM6SF2	T	C	0.076	0.032	0.004	8.57E-19
rs3814995	19	36342212	NPHS1	T	C	0.312	-0.015	0.002	2.83E-12
rs1065853	19	45413233	APOC1	T	G	0.082	0.027	0.004	8.32E-14
rs157595	19	45425460	APOC1	G	A	0.614	-0.016	0.002	2.95E-14
rs112285002	19	48374320	SULT2A1	T	C	0.160	0.060	0.003	1.77E-110
rs62130059	19	48461240	SULT2A1	C	A	0.336	-0.027	0.002	9.25E-34
rs10426	19	51517798	KLK10	A	G	0.213	0.025	0.002	3.31E-26
rs8103262	19	53065814	ZNF808	C	T	0.305	0.013	0.002	3.18E-09
rs6123359	20	52714706	RP13-379L11.3	G	A	0.105	0.032	0.003	7.74E-24
rs6127099	20	52731402	RP13-379L11.3	T	A	0.279	-0.037	0.002	9.30E-62
rs2585442	20	52737123	RP13-379L11.3	G	C	0.246	0.034	0.002	6.87E-49
rs2229742	21	16339172	NRIP1	C	G	0.104	-0.026	0.003	7.13E-16
rs2074735	22	31535872	PLA2G3	C	G	0.064	0.027	0.004	6.55E-12
rs960596	22	41393520	SCUBE1	T	C	0.340	0.012	0.002	2.23E-09

SNP: single nucleotide polymorphism; SE: standard error. *P value tests the null hypothesis of no association with 25(OH)D [†]Positions are reported according to Genome Reference Consortium Human Build 37 (GRCh37/hg19).

Weighted median sensitivity analysis

Weighted Median sensitivity analysis for all three traits provided effect estimates similar in magnitude to the IVW primary analysis (Table 3). This suggests that the 25(OH)D variants were valid instruments.

MR-Egger sensitivity analysis

The MR-Egger sensitivity analysis for all three traits provided effect estimates similar in magnitude to the IVW primary analysis and WM analysis (Table 3). The MR-Egger regression analysis for all three traits found y-intercepts compatible with 0 (caries in primary teeth: y-intercept = 0.002, SE=0.006, P=0.75; caries in permanent teeth in children and adolescents: y-intercept=0.006, SE=0.006, P=0.93; DMFS: y-intercept=0.001, SE=0.002, P=0.55) and did not find evidence against the null hypothesis of no unbalanced horizontal pleiotropy.

Phenotypic sensitivity analysis. Sensitivity analyses were undertaken excluding questionnaire-derived data. The sensitivity analyses included 11,344 children with data on caries in primary teeth and 7,480 children and adolescents with data on caries in permanent teeth. The effect estimates had similar interpretation to the effect estimates in primary analysis but with reduced precision (caries in primary teeth: OR=1.12 (95% CI 0.83; 1.41) P=0.45; caries in permanent teeth in children and adolescents: OR=1.11 (95% CI 0.72; 1.51) P=0.59).

Sensitivity analysis for ancestry. Sensitivity analysis of DMFS, which excluded a study of Hispanic/Latino ancestry included 14,975 adults. The effect estimate was small and had

reduced precision compared to the principal analysis, but agreed with the estimate from the principal analysis within the 95% CIs. The sensitivity analysis estimate was (0.43 more decayed, missing or filled tooth surfaces (95% CI -1.40; 2.27 tooth surfaces), P=0.64).

Strength of instrumental variables. The 81 SNPs combined were considered to be a strong proxy for 25(OH)D in the MR study with F-statistics > 10 for all outcomes (Burgess *et al.*, 2011).

Power

Post-hoc power calculations suggested that the study was well-powered ($\beta=0.8$) to identify effect sizes equal to or larger than an OR = 0.8 for binary outcomes or a 1.6 surface change in DMFS per 1 SD change increase in 25(OH)D (Table 4).

Discussion

This study tested for causal effects of serum 25(OH)D on dental caries using an MR approach. The main findings are near-null causal effect estimates for all three dental caries traits which do not provide evidence against the null hypothesis of no causal association between 25(OH)D and dental caries. The effect sizes seen in this study agree with some reported associations in the observational literature, for example a weak association with a similar OR (0.97) found by Gyll *et al.* (2018) but disagrees with other studies in the literature. In particular, the causal effect sizes are smaller than the effect sizes reported by Schroth *et al.* (2016) (OR=0.57, 95% CI: 0.39, 0.82) for a comparable change in 25(OH)D.

Table 2. Summary of SNP-outcome association statistics for the three caries outcomes.

		Caries in Primary teeth						Caries in Permanent teeth						DMFS					
		A1	A2	A1F	Beta	SE	P	N		A1F	Beta	SE	P	N	A1F	Beta	SE	P	N
rs7528419		a	g	0.7715	-0.0398	0.0356	0.2641	16586		0.7784	0.029	0.0369	0.4311	12937	0.7889	0.0078	0.0106	0.4625	26790
rs3768013		a	g	0.3611	0.029	0.0306	0.3439	17029		0.3645	0.06	0.0315	0.05649	13382	0.426	-0.0074	0.009	0.4108	26790
rs11264360		a	t	0.2455	0.0043	0.0345	0.8997	17023		0.2454	0.0359	0.0356	0.3139	13383	0.3044	-0.0103	0.0102	0.3107	26791
rs3750296		c	g	0.3472	-0.0313	0.0305	0.3044	17032		0.3503	-0.0269	0.0312	0.3879	13384	0.3728	0.0133	0.0093	0.1507	26789
rs867772		a	g	0.3059	-0.0212	0.0325	0.5129	16945		0.3112	-0.0437	0.0328	0.183	13359	0.3268	0.0012	0.01	0.9073	26792
rs10127775		a	t	0.4057	0.0107	0.0308	0.7277	16520		0.4003	0.0494	0.0311	0.112	12927	0.4673	-0.0008	0.0088	0.9285	26791
rs6698680		a	g	0.5311	0.0085	0.0297	0.775	17022		0.5344	-0.0036	0.0306	0.907	13383	0.6092	-0.0017	0.0088	0.844	26790
rs7519574		a	g	0.1692	-0.0617	0.0486	0.204	11767		0.1671	-0.0232	0.0447	0.6033	7999	0.1836	0.0096	0.0127	0.4483	26791
rs56044892		t	c	0.2118	0.021	0.0375	0.5761	16926		0.213	0.0012	0.0379	0.9742	13347	0.22	0.0072	0.0129	0.5796	26789
rs10887718		t	c	0.5215	-0.0332	0.0291	0.2543	17037		0.5247	-0.0317	0.0299	0.2902	13386	0.6486	-0.002	0.0089	0.8233	26790
rs964184		c	g	0.8614	0.1134	0.0538	0.03506	11318		0.8602	0.052	0.0489	0.2876	7551	0.8952	-0.0167	0.011	0.1274	26789
rs2847500		a	g	0.1255	-0.0796	0.0462	0.0845	16952		0.1232	0.0182	0.0481	0.7058	13351	0.2265	0.036	0.0121	0.002913	26791
rs10832218		t	c	0.6948	-0.0223	0.0388	0.566	17037		0.74	-0.0027	0.0435	0.9509	13386	0.6556	0.0117	0.0089	0.1927	26791
rs10832289		a	t	0.5866	0.0332	0.0298	0.2653	17037		0.5874	0.0193	0.0305	0.5266	13386	0.628	0.0161	0.0088	0.06729	26791
rs523583		a	c	0.529	-0.0026	0.0358	0.9424	11767		0.5257	-0.0254	0.0332	0.4453	7999	0.5697	-0.0104	0.0088	0.2338	26791
rs12803256		a	g	0.2959	0.0167	0.0325	0.6076	17018		0.2741	0.0204	0.0343	0.5516	13378	0.5016	0.0161	0.009	0.07419	26792
rs10793129		a	g	0.1022	0.0191	0.0875	0.8272	4707		0.0949	0.0029	0.1207	0.981	2079	0.1507	0.0316	0.0146	0.03075	26790
rs1149605		t	c	0.8303	-0.0663	0.0392	0.09072	17037		0.8273	-0.1035	0.04	0.009713	13386	0.8776	-0.0167	0.0124	0.1767	26792
rs12317268		a	g	0.8293	0.0422	0.0482	0.3808	11767		0.8355	-0.0478	0.045	0.2884	7999	0.8515	-0.015	0.0116	0.1983	26790
rs9668081		t	c	0.4807	-0.0715	0.0527	0.1749	4679		0.4758	0.0012	0.069	0.9865	2071	0.5563	-0.006	0.0087	0.4886	26791
rs10859995		t	c	0.4209	0.0549	0.0357	0.1244	11767		0.4228	-0.0052	0.0333	0.8761	7999	0.5772	-0.0099	0.0088	0.2578	26791
rs8018720		c	g	0.8336	-0.0313	0.0404	0.4384	16585		0.8273	-0.0808	0.0413	0.0503	12937	0.8762	0.0229	0.0113	0.04263	26791
rs261291		t	c	0.6454	-0.0072	0.0305	0.8145	17027		0.6439	0.0217	0.0315	0.4915	13379	0.6703	0.0022	0.009	0.8094	26790
rs1800588		t	c	0.2144	-0.0273	0.0367	0.4563	16582		0.2113	0.0153	0.0381	0.687	12938	0.4643	-0.007	0.0095	0.4646	26790
rs17765311		a	c	0.646	-0.0421	0.0314	0.1797	16556		0.6493	0.012	0.0324	0.7107	12924	0.7866	-0.0167	0.0097	0.08395	26790
rs62007299		a	g	0.7111	0.0149	0.0321	0.6416	17035		0.7134	0.0339	0.033	0.3052	13385	0.741	-0.004	0.0093	0.6685	26790

		Caries in Primary teeth						Caries in Permanent teeth						DMFS			
SNP	A1	A2	A1F	Beta	SE	P	N	A1F	Beta	SE	P	N	A1F	Beta	SE	P	N
rs8063706	a	t	0.7121	0.0664	0.0342	0.05258	16344	0.7204	0.0659	0.0339	0.05223	13350	0.7396	0.0002	0.01	0.9868	26790
rs77924615	a	g	0.2002	0.0277	0.0377	0.4623	16966	0.2008	-0.0209	0.0383	0.5846	13361	0.2262	0.0069	0.011	0.5283	26789
rs71383766	t	c	0.3967	-0.0534	0.0611	0.3818	4579	0.4139	-0.0694	0.0786	0.377	1865	0.4351	-0.0071	0.0093	0.4419	26790
rs1800775	a	c	0.4822	-0.0302	0.0369	0.412	11318	0.4855	-0.0543	0.0339	0.1088	7550	0.5197	0.0033	0.0087	0.708	26789
rs2909218	t	c	0.7987	0.0284	0.0365	0.4361	17025	0.7992	0.0058	0.0378	0.8787	13384	0.8359	-0.0022	0.0101	0.8276	26791
rs8091117	a	c	0.0721	0.1079	0.0716	0.1317	11318	0.0674	0.1266	0.0678	0.06196	7551	0.149	0.0106	0.014	0.4469	26791
rs2037511	a	g	0.1653	0.0182	0.0494	0.7126	11318	0.1623	0.032	0.0459	0.4863	7551	0.1939	0.0054	0.0117	0.6434	26790
rs73015021	a	g	0.8841	0.0861	0.0466	0.06437	17024	0.8803	0.0302	0.0471	0.5211	13386	0.9088	0.0293	0.013	0.02379	26792
rs10500209	t	c	0.7193	-0.0767	0.0403	0.05718	11317	0.7215	-0.056	0.0373	0.1337	7551	0.8095	0.0098	0.0102	0.336	26791
rs58542926	t	c	0.0813	0.0191	0.056	0.7332	16584	0.0816	-0.1306	0.0566	0.02103	12938	0.109	0.0062	0.017	0.7127	26791
rs3814995	t	c	0.3177	-0.0489	0.0403	0.2248	13471	0.3164	-0.0195	0.0375	0.6037	12724	0.3704	0.0069	0.0099	0.4851	26791
rs57631352	a	g	0.7039	-0.0281	0.0318	0.3775	17032	0.7062	-0.0158	0.0332	0.6337	13383	0.7205	-0.0121	0.0095	0.2048	26790
rs1065853	t	g	0.0745	0.0724	0.2229	0.7453	644	0.0693	-0.2843	0.5623	0.6131	202	0.0786	0.1254	0.0795	0.1146	1116
rs157595	a	g	0.3653	-0.0109	0.0338	0.747	15328	0.3711	-0.0409	0.0332	0.2176	13172	0.5123	-0.0003	0.0095	0.9767	26791
rs112285002	t	c	0.1073	-0.2394	0.0987	0.01527	4608	0.12	-0.0908	0.119	0.4452	2051	0.1274	0.0092	0.0185	0.6194	26791
rs62130059	a	c	0.4583	-0.0481	0.0969	0.6197	1591	0.4537	-0.0174	0.09	0.8464	1865	0.6457	0.0115	0.0103	0.2643	26791
rs10426	a	g	0.2184	0.0879	0.0438	0.04488	11766	0.2207	0.0615	0.0405	0.1292	7999	0.2535	-0.0141	0.0114	0.2175	26790
rs8103262	t	c	0.6948	-0.0274	0.0317	0.388	17030	0.6963	0.0025	0.033	0.9406	13386	0.7214	0.0115	0.0093	0.2167	26790
rs6724965	a	g	0.8233	-0.0312	0.0384	0.4174	17014	0.8248	0.0626	0.0394	0.112	13381	0.8299	0.0292	0.0103	0.004574	26792
rs7569755	a	g	0.2819	0.0107	0.0322	0.7387	17032	0.285	0.0478	0.0331	0.1491	13384	0.2943	-0.0006	0.0101	0.9555	26791
rs1047891	a	c	0.318	-0.0028	0.0346	0.9356	15895	0.3208	0.0051	0.0343	0.8819	12724	0.3562	0.0191	0.0095	0.04407	26791
rs12997242	a	g	0.4302	-0.0229	0.03	0.4461	16588	0.4346	-0.0295	0.0309	0.3392	12938	0.4487	0.0027	0.0089	0.7603	26791
rs2011425	t	g	0.9174	-0.0563	0.0539	0.2958	17034	0.9169	-0.0554	0.0556	0.3198	13386	0.937	-0.0044	0.015	0.7695	26791
rs11127048	a	g	0.5689	-0.0464	0.0565	0.412	4674	0.5503	-0.0883	0.0735	0.2298	2070	0.6373	0.02	0.0092	0.02982	26790
rs6123359	a	g	0.901	-0.1575	0.0511	0.002053	17024	0.8982	-0.0575	0.0504	0.2544	13383	0.9227	-0.0161	0.014	0.2512	26789
rs6127099	a	t	0.7272	0.0092	0.035	0.7932	16344	0.7268	-0.003	0.0347	0.9303	13172	0.764	-0.0011	0.0095	0.9087	26789
rs2585442	c	g	0.7451	-0.0194	0.0343	0.5715	16990	0.7475	-0.0027	0.0351	0.9393	13366	0.8644	-0.0103	0.0111	0.3531	26790
rs2229742	c	g	0.1176	0.0698	0.047	0.1376	16579	0.1103	0.0045	0.0492	0.9277	12937	0.1364	-0.0044	0.0162	0.7857	26789

SNP	A1	A2	Caries in Primary teeth					Caries in Permanent teeth					DMFS				
			A1F	Beta	SE	P	N	A1F	Beta	SE	P	N	A1F	Beta	SE	P	N
rs2074735	c	g	0.0689	0.0605	0.0613	0.3235	16586	0.0704	0.0704	0.0631	0.2646	12935	0.1578	0.0213	0.0149	0.1512	26789
rs960596	t	c	0.3349	-0.0088	0.0313	0.7779	16993	0.3344	0.0055	0.0319	0.8641	13373	0.3741	-0.0014	0.0099	0.885	26789
rs6438900	c	g	0.7287	0.0337	0.0329	0.3066	17017	0.7282	-0.0246	0.0339	0.4681	13385	0.748	-0.0066	0.0097	0.5	26790
rs6773343	t	c	0.7199	-0.0373	0.0323	0.2479	17037	0.7247	0.0046	0.0334	0.8901	13386	0.7932	0.0078	0.0101	0.44	26790
rs1972994	a	t	0.3415	-0.0381	0.0307	0.2146	17037	0.3446	0.0209	0.0313	0.5048	13385	0.3557	0.0018	0.0095	0.8508	26790
rs78649910	a	t	0.1197	0.0614	0.0471	0.1922	16995	0.1162	-0.0106	0.0489	0.8289	13373	0.1488	-0.0307	0.0132	0.01993	26792
rs7699711	t	g	0.493	0.0244	0.0521	0.6389	4705	0.5042	0.0991	0.0688	0.1495	2075	0.6559	-0.0022	0.0089	0.8041	26792
rs705117	t	c	0.8452	-0.0204	0.0491	0.6783	11766	0.8473	-0.0646	0.0454	0.1542	7999	0.8864	-0.0063	0.0108	0.5627	26790
rs11723621	a	g	0.7242	-0.0029	0.0329	0.9299	17031	0.7192	0.0019	0.0334	0.9556	13384	0.808	0.0015	0.0101	0.8812	26791
rs222026	a	t	0.1671	0.0826	0.0721	0.2519	4698	0.1682	0.0295	0.0966	0.7598	2075	0.2457	0.0242	0.0111	0.02888	26791
rs186881826	a	t	0.2482	-0.0156	0.0656	0.8127	4652	0.2567	0.0164	0.0885	0.8527	2064	0.3269	0.0153	0.0098	0.1201	26790
rs58073039	a	g	0.7207	-0.0093	0.0322	0.7727	17030	0.7143	0.0342	0.0332	0.3042	13386	0.7466	-0.0023	0.0095	0.8081	26791
rs7718395	c	g	0.6602	-0.0176	0.0308	0.5671	17005	0.6673	0.0323	0.0318	0.3109	13378	0.79	0.0007	0.0099	0.9435	26791
rs1011468	a	g	0.4725	0.0136	0.0292	0.6421	17023	0.4684	0.0249	0.0302	0.4102	13382	0.5819	0.0039	0.0089	0.6598	26791
rs1858889	a	c	0.5068	0.0076	0.0292	0.7947	17034	0.5063	0.0303	0.0302	0.3145	13383	0.5596	-0.0001	0.0087	0.9898	26791
rs11529171	c	g	0.2021	-0.0843	0.0366	0.02117	17022	0.2061	0.0092	0.0373	0.805	13380	0.2175	-0.0058	0.0114	0.6131	26792
rs804280	a	c	0.5946	-0.0094	0.0358	0.7938	11767	0.587	0.0001	0.0332	0.9966	7999	0.7116	-0.0033	0.0092	0.7233	26791
rs7828742	a	g	0.3991	0.0619	0.0297	0.03707	17032	0.3983	-0.0058	0.0306	0.8495	13383	0.4595	0.0182	0.0092	0.04824	26790
rs34726834	t	c	0.258	0.0278	0.0337	0.4096	17037	0.2557	0.0389	0.0346	0.2606	13385	0.3408	0.0008	0.0095	0.934	26790
rs10818769	c	g	0.1417	0.0636	0.0427	0.1364	17037	0.1419	-0.0203	0.0431	0.6374	13386	0.4206	0.0054	0.0105	0.6048	26791
rs532436	a	g	0.2008	0.0213	0.0373	0.5678	16586	0.2028	-0.0193	0.0382	0.613	12938	0.2318	-0.0021	0.0113	0.8535	26791
rs2934744	a	c	0.6596	-0.0007	0.0314	0.9824	16588	0.6568	-0.0361	0.0323	0.2644	12938	0.733	-0.0067	0.0091	0.4594	26791
rs201501563	t	c	0.5809	0.0359	0.0296	0.2263	17037	0.5812	0.0325	0.0305	0.2856	13386	0.6232	0.0175	0.0088	0.04597	26791
rs7650253	a	t	0.3065	-0.015	0.0317	0.6364	17037	0.313	0.0048	0.0325	0.8835	13386	0.4507	-0.0039	0.0098	0.6916	26789
rs3822868	g	a	0.1676	0.0219	0.0393	0.5771	17037	0.1677	-0.0472	0.0396	0.2341	13386	0.22	0.0078	0.0111	0.48	26791
rs200454003	t	c	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	0.4779	0.0127	0.0128	0.3216	12760

A1: Allele1; A2: Allele2; A1 F: Allele 1 frequency. All P values test the null hypothesis of no association between the SNP and relevant carries outcome.

Table 3. Summary of MR estimates for each dental outcome for primary inverse variance weighted analysis, weighted median sensitivity analysis and MR-Egger regression sensitivity analysis.

	Sample size (n)	Age (years)	Method	Beta	Standard error	Transformed effect	95% Confidence Intervals	p*
Caries in primary teeth	16572	3–12	Inverse Variance Weighted	0.0575	0.129	1.06	0.81, 1.31	0.66
			Weighted Median	-0.0298	0.167	0.97	0.64, 1.30	0.86
			MR-Egger	0.0187	0.178	1.01	0.67, 1.37	0.92
Caries in permanent teeth	12935	6–18	Inverse Variance Weighted	-0.00428	0.119	1.00	0.76, 1.23	0.97
			Weighted Median	0.00946	0.169	1.01	0.68, 1.34	0.96
			MR-Egger	-0.0149	0.164	0.99	0.66, 1.31	0.93
DMFS	26791	18–93	Inverse Variance Weighted	-0.0158	0.0385	-0.31 surfaces	-1.81, 1.19 surfaces	0.68
			Weighted Median	0.00786	0.0500	0.16 surfaces	-1.79, 2.10 surfaces	0.87
			MR-Egger	-0.0392	0.0550	-0.78 surfaces	-2.92, 1.36 surfaces	0.48

*P values test the null hypothesis of no causal association between 25(OH)D and caries outcome.

One limitation of the MR approach is statistical power, since this method requires large samples to produce precise causal estimates. To evaluate whether the apparent disagreement in the results of this study and previous literature might be due to statistical power, we undertook post-hoc power calculations showing that with the instrument used here the study has >80% power to detect a causal association as large as those previously reported in observational studies or controlled clinical trials. We did not see large effect sizes which could be interpreted as suggesting that confounding in the observational literature and publication bias in results of controlled clinical trials has potentially over-estimated the effect sizes, however we also consider other explanations as discussed below. To exclude a subtle causal effect (OR>0.8), much larger sample sizes will be needed.

To provide valid inference, the MR method makes three assumptions. First, the genetic variants used as proxies for the exposure need to be robustly associated with the exposure of interest. This assumption has been satisfied in the current experiment since the variants were identified from published GWAS as strongly associated with 25(OH)D (P values all $\leq 5 \times 10^{-8}$). In addition, the F-statistics are >10, therefore the IVs are considered to be strong, owing to the large sample size of available outcomes for the three traits under study (Burgess *et al.*, 2011). It therefore appears unlikely that weak instrument bias explains the lack of causal association seen in the present study.

The second assumption states that the genetic variants must be independent of confounders of the exposure-outcome association. In general, this assumption is difficult to test in

two-sample MR experiments, but violations might arise due to population stratification (different populations inheriting haplotype blocks in different frequencies due to differences in ancestries). To help address this, nearly all participants included in the MR analysis were of European ancestry and individuals are assumed to only differ with respect to the 25(OH)D loci under study. In addition, the SNP-25(OH)D SNP-caries association statistics were obtained from models which adjusted for population substructure, as described in the respective GWAS publications. There was little evidence for inflationary bias in the summary statistics of these models, suggesting that population stratification was well-controlled. Finally, sensitivity analyses were performed using more stringent exclusion criteria for ancestry in adults, and the results of these analyses agreed with the primary analysis. These approaches help protect against violations of the second assumption, but as a result of sample restriction, the results from this study are only applicable to individuals of European ancestry and are not necessarily generalizable to other ethnic populations. As a more general observation on external validity, the children included in this study were recruited from wealthy countries with long-standing public health messages regarding both vitamin D and dental caries. Thus, the prevalence of both vitamin D deficiencies and caries may be lower in the study population than in other groups, and care is needed in extrapolating the findings to populations in other countries or indeed historical studies in the same countries.

The third assumption states that the genetic instrument must be associated with dental caries, only through its effects on 25(OH)D and not via any alternative pathways (referred to as

Figure 1. Forest plot of leave-one-out analysis of each 25(OH)D SNP on caries in primary teeth in paediatric populations.

pleiotropy). If any single SNP had strongly pleiotropic effects acting on the outcome through a mechanism other than through 25(OH)D, this would be shown in the leave-one-out sensitivity analysis as an outlier. No such outliers were identified. Groups of SNPs with pleiotropic effects would result in a discrepancy between the results of IVW and WM analysis, which we did

not observe. Finally, groups of SNPs with unbalanced horizontal pleiotropic effects would result in a detectable intercept term in MR-Egger regression analysis.

This experiment used a two-sample design which places natural limits on the scope of the experiment. For example, it

Figure 2. Forest plot of leave-one-out analysis of each 25(OH)D SNP on caries in permanent teeth in paediatric populations.

was not possible to test for non-linear effects of 25(OH)D or describe the full characteristics of the SNP instruments. However, within these limits we have tested for we did not find evidence of violations of the assumptions of the method.

In summary, MR has provided a genetic approach to assess causality between 25(OH)D and dental caries, an association

which is currently not fully understood. This study did not find evidence supporting a clinically relevant causal association. Although the assumptions required by this method appeared to be valid, results were similar across different caries outcomes and findings were consistent in sensitivity analysis, we acknowledge that statistical power was a limitation. At this moment in time the results do not suggest that vitamin D supplementation is

Figure 3. Forest plot of leave-one-out analysis of each 25(OH)D SNP on DMFS.

Table 4. Estimated detectable effect size for each trait given study power.

Outcome	Sample size (n)	Age (years)	Type-I error rate (α)	Power (β)	Proportion of cases (K)	Proportion of variance explained (R^2)	Effect size detectable per 1 SD increase in 25(OH)D
Caries in primary teeth	17035	3–12	0.05	0.8	0.406	0.044	OR = 0.81
Caries in permanent teeth	13386	6–18	0.05	0.8	0.439	0.044	OR = 0.79
DMFS	26792	18–93	0.05	0.8	NA	0.044	surfaces = 1.6

likely to be an effective population-level risk reduction strategy for alleviating the burden of disease from dental caries where there is no suspicion of vitamin D insufficiency. In the future, larger GWAS for caries may provide more precise quantification of the role of vitamin D or other modifiable risk factors in the aetiology of this complex and important disease.

Data availability

Source data

University of Bristol Research Data Repository: Summary statistics of consortium GWAS for dental caries in paediatric populations. <https://doi.org/10.5523/bris.pkqcnil6e9ju2nyreblt3mvwf> (Haworth & Timpson, 2018)

This project contains genome-wide summary statistics for analysis of caries traits in children. Estimates of genetic effects on caries in the primary dentition and caries in the permanent dentition in paediatric populations were extracted from this data release.

University of Bristol Research Data Repository: GWAS summary statistics for dental caries and periodontitis. <https://doi.org/10.5523/bris.2j2rqgzdxlq02oqbb4vmcnc2> (Haworth, 2019).

This project contains results of analysis in adults. Estimates of genetic effect on caries in adults (DMFS scores) were extracted from this data release.

Data are available under the [Non-Commercial Government Licence for public sector information](#).

Acknowledgements

We are grateful to the GWAS studies for 25(OH)D and dental caries for making summary results publicly available. These studies were supported by a large number of individual cohorts and funding sources which are acknowledged in full in the manuscripts describing the GWAS results. This study used the MRBase resource, which is supported by funding from several sources as described in the manuscript.

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Robert J Schroth

Rady Faculty of Health Sciences, University of Manitoba, Winnipeg, Canada

Thank you very much for the opportunity to review this manuscript. This is a very interesting and novel study exploring whether there is evidence of a causal relationship between vitamin D and caries in children and adults. The authors have used a Mendelian Randomization (MR) approach to estimate the causal effect between serum 25(OH)D and caries.

Title: This reviewer would suggest a change in the title so that it would be more informative to readers and indicate the type of study it is. Perhaps it would be better as "Examining the causal relationship between 25-hydroxyvitamin D and caries in children and adults: a MR analysis approach."

Abstract: the use of the term "null hypothesis" may not be familiar for readers from some regions of the world. It would be more clear to just state what the hypothesis was and whether the findings support the causal relationship or not.

Introduction: is appropriate. The only comment would be that the authors have reported on findings from cross-sectional studies. Is it worth mentioning findings from any prospective studies looking at 25(OH)D during periods of tooth formation and caries at a later time point?

Methods: perhaps the addition of an extra sentence or two about genome-wide association studies (GWASs) would help future readers. Would it be possible to add a small figure that shows the various GWASs whether data were obtained from to conduct this MR study?

Results: well described.

Discussion: as recommended earlier, it might be best to rephrase and avoid the use of the term "null hypothesis".

Tables and figures: appropriate.

Is the work clearly and accurately presented and does it cite the current literature?

Yes

Is the study design appropriate and is the work technically sound?

Yes

Are sufficient details of methods and analysis provided to allow replication by others?

Yes

If applicable, is the statistical analysis and its interpretation appropriate?

Yes

Are all the source data underlying the results available to ensure full reproducibility?

Yes

Are the conclusions drawn adequately supported by the results?

Yes

Competing Interests: No competing interests were disclosed.

Reviewer Expertise: Vitamin D and dental caries, early childhood caries, dentistry.

I confirm that I have read this submission and believe that I have an appropriate level of expertise to confirm that it is of an acceptable scientific standard, however I have significant reservations, as outlined above.

Author Response 12 Jul 2021

Serena A Dodhia, University of Bristol, Bristol, UK

Many thanks for taking the time to review this manuscript and for your valuable comments. We have carefully considered each comment and made changes in the paper where required. Please find point-by-point responses below. Reviewer comments are in bold type. Responses are in plain type. Sections quoted from the revised manuscript are in italics.

1. Title: This reviewer would suggest a change in the title so that it would be more informative to readers and indicate the type of study it is. Perhaps it would be better as "Examining the causal relationship between 25-hydroxyvitamin D and caries in children and adults: a MR analysis approach."

Thank you for the comment, we have changed the title to:

"Examining the causal association between 25-hydroxyvitamin D and caries in children and adults: a two-sample Mendelian Randomization approach."

2. Abstract: the use of the term "null hypothesis" may not be familiar for readers from some regions of the world. It would be more clear to just state what the hypothesis was and whether the findings support the causal relationship or not.

Thank you for the suggestion, the term null hypothesis has been removed and reworded.

"The MR-derived effect estimates for these three measures are small in magnitude with wide confidence intervals and do not provide evidence for a causal relationship between 25(OH)D and dental caries."

In addition the term null hypothesis has been removed from the Results subsection MR-Egger sensitivity analyses: *"The MR-Egger regression analysis for all three traits found y-intercepts compatible with 0 (caries in primary teeth: y-intercept = 0.002, SE=0.006, P=0.75; caries in permanent teeth in children and adolescents: y-intercept=0.006, SE=0.006, P=0.93; DMFS: y-intercept=0.001, SE=0.002, P=0.55) indicating no unbalanced horizontal pleiotropy."*

3. Introduction: is appropriate. The only comment would be that the authors have reported on findings from cross-sectional studies. Is it worth mentioning findings from any prospective studies looking at 25(OH)D during periods of tooth formation and caries at a later time point?

Thank you for the comment. We have added information from an additional study carried out during tooth formation to the introduction.

"A 6-year follow up double-blind randomized clinical trial found that high-dose vitamin D supplementation during pregnancy was associated with reduced odds of enamel defects in the offspring (Nørrisgaard et al. 2019)."

A prospective study by Gyll et al. is mentioned in the discussion already.

4. Methods: perhaps the addition of an extra sentence or two about genome-wide association studies (GWASs) would help future readers. Would it be possible to add a small figure that shows the various GWASs whether data were obtained from to conduct this MR study?

Thank you for the comment. A new figure (Figure 1) has been added to the methods section to further explain the two-sample MR method.

"The data for SNP-exposure and SNP-outcomes were extracted from published GWASs. GWASs are used in genetic research to identify genetic variants that are associated with a specific trait. Figure 1 shows the steps involved in performing a two-sample MR."

"Figure 1: A diagrammatic representation of the two sample mendelian randomization analysis. Instruments are identified from the exposure 25(OH)D GWAS (Manousaki et al. 2020), corresponding SNP effects are extracted from the outcome GWASs. The exposure and outcome SNP effects are harmonized and then mendelian randomization analysis is performed. (Adapted from Hemani et al. 2016)."

5. Discussion: as recommended earlier, it might be best to rephrase and avoid the use of the term "null hypothesis".

Thank you for the comment the term null hypothesis has been removed from the discussion as well.

"The main findings are near-null causal effect estimates for all three dental caries traits which do not provide evidence to support a causal association between 25(OH)D and dental caries."

Competing Interests: No competing interests were disclosed.

Reviewer Report 14 December 2020

<https://doi.org/10.21956/wellcomeopenres.18004.r41696>

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Yi-Qian Sun

¹ Department of Clinical and Molecular Medicine, Norwegian University of Science and Technology, Trondheim, Norway

² Center for Oral Health Services and Research Mid-Norway (TkMidt), Trondheim, Norway

The authors have performed a two-sample Mendelian randomization (2SMR) study to investigate the potential causal association between serum 25-hydroxyvitamin D (25(OH)D) and dental caries in children and adults. They have used publicly available summary statistics from GWASs of serum 25(OH)D and dental caries for the analyses. Little evidence was observed to support a causal effect of serum 25(OH)D on dental caries.

Major comments:

1. The answer to the research question is warranted in the dental health. The authors have used the latest GWAS summary statistics and applied primary and several robust MR methods. They have also performed sensitivity analyses excluding questionnaire-based outcome data for children and a study of Hispanic ancestry for DMFS. The conclusion and the implication of the study were based on the solid results and the three MR assumptions were addressed well in the discussion.
2. The authors used statistically driven approach to select genetic variants, i.e. included all common variants ($MAF \geq 5\%$) that are associated with serum 25(OH)D at a given level of statistical significance ($P < 6.6 \times 10^{-9}$) in one of the latest GWAS for 25(OH)D¹. Use of many genetic variants with unclear biological functions likely results in horizontal pleiotropy of an association. In the study by Manousaki *et al*¹, partially shared heritability between 25(OH)D and socio-economic traits were indeed observed. The existence of pleiotropy likely changes the estimates away from the null association. However, in theory it is possible that pleiotropy leads to a false negative finding². It would be advisable if the authors could perform extra sensitivity analyses using only independent SNPs related to four loci (GC, *NADSYN1/DHCR7*, *CYP2R1*, *CYP24A1*)³ that have biological relevance to 25(OH)D to avoid

horizontal pleiotropy and confirm the null associations.

3. I would like to discuss the necessity for post hoc power analysis with the authors. The power analysis that the authors applied is designed mainly for one-sample MR⁴. As confidence intervals (CIs) indicate the precision of the observed association estimates and reflect the sample size, post hoc power analysis is generally not recommended⁵.

Minor comments:

1. Please write clearly that the study is a two-sample MR in the title, abstract and the introduction section.

In the Methods:

1. One of the selection criteria for SNPs used as proxies for 25(OH)D exposure is "SNPs had independent effects". The used linkage disequilibrium (LD) R^2 cut-off value should be given.
2. GWAS of DMFS: DMFS as a count variable has a strongly positively skewed distribution with a large stack of zero counts for those without caries. How the zeros were handled when DMFS scores were generated?

In the Results

1. The F statistic is usually reported in one-sample MR studies to represent strength of the instrumental variables (IVs). In a two-sample setting, the R^2 statistic (a measure of the variance in the exposure explained by the genetic variants) is more proper. In the Results, it reads "In total, these SNPs explain approximately 4.4% of the total variation in 25(OH)D levels in populations of European ancestry". How was this 4.4% calculated? In the study by Manousaki *et al.*, 138 SNPs explained 4.9% of the variation of 25(OH)D level.
2. For Table 2, could the authors rearrange the order of SNPs in the same way as in Table 1 and give chromosome positions for easier reading?
3. The tables and figures should be interpretable by themselves. Add more details in table footnotes and figure legends: e.g.
 1. Table 1. Number of participants should be given for the SNP-25(OH)D association. According to the study by Manousaki *et al.*, the effect estimates and P values were based on meta-analysis (n=443,734).
 2. Table 3: use OR instead of "Transformed effect", OR and beta correspond to genetically determined one standard deviation increase in natural log-transformed 25(OH)D.
3. Figures 1 and 2: on risk for caries (binary); Figure 3. full name of DMFS.

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Is the work clearly and accurately presented and does it cite the current literature?

Yes

Is the study design appropriate and is the work technically sound?

Yes

Are sufficient details of methods and analysis provided to allow replication by others?

Yes

If applicable, is the statistical analysis and its interpretation appropriate?

Yes

Are all the source data underlying the results available to ensure full reproducibility?

Yes

Are the conclusions drawn adequately supported by the results?

Yes

Competing Interests: I had contacted two authors in the manuscript for potential collaboration in the future. I believe that this will not affect my ability to review the article impartially.

Reviewer Expertise: Epidemiology; Mendelian randomization; vitamin D; dental health

I confirm that I have read this submission and believe that I have an appropriate level of expertise to confirm that it is of an acceptable scientific standard.

Author Response 12 Jul 2021

Serena A Dodhia, University of Bristol, Bristol, UK

Thank you for taking the time to review this submission and for the valuable comments. We have considered each comment and made changes in the paper where required. Please find point-by-point responses below. Reviewer comments are in bold type. Responses are in plain type. Sections quoted from the revised manuscript are in italics.

1. The authors used statistically driven approach to select genetic variants, i.e. included all common variants ($MAF \geq 5\%$) that are associated with serum 25(OH)D at a given level of statistical significance ($P < 6.6 \times 10^{-9}$) in one of the latest GWAS for 25(OH)D. Use of many genetic variants with unclear biological functions likely results in horizontal pleiotropy of an association. In the study by Manousaki *et al*, partially

shared heritability between 25(OH)D and socio-economic traits were indeed observed. The existence of pleiotropy likely changes the estimates away from the null association. However, in theory it is possible that pleiotropy leads to a false negative finding. It would be advisable if the authors could perform extra sensitivity analyses using only independent SNPs related to four loci (GC, NADSYN1/DHCR7, CYP2R1, CYP24A1) that have biological relevance to 25(OH)D to avoid horizontal pleiotropy and confirm the null associations.

Thank you for this comment. As suggested we have included additional sensitivity analysis using a subset of variants (rs705117, rs222026, rs201501563, rs186881826, rs11723621, rs10832289 and rs10832218). These are located in the GC and CYP2R1 loci. These variants were selected as they were available in the outcome data and they had a minor allele frequency of 0.05 or above as stated in the SNP-exposure methods. The results of this analysis were concordant with the main analysis and had similar interpretation. We have added a paragraph to the results section:

"Sensitivity analysis using 7 independent SNPs

Sensitivity analyses using 7 independent SNPs, located in the the GC and CYP2R1 loci, that have biological relevance to 25(OH)D was carried out, to avoid horizontal pleiotropy and confirm the null associations. Results of this sensitivity analysis were consistent with the principal analysis (caries in primary teeth OR= 1.00 (95% CI 0.74; 1.33); caries in permanent teeth OR= 1.02 (95% CI 0.75; 1.37); DMFS 0.52 surfaces (95% CI -2.37; 3.41 surfaces)."

2. I would like to discuss the necessity for post hoc power analysis with the authors. The power analysis that the authors applied is designed mainly for one-sample MR. As confidence intervals (CIs) indicate the precision of the observed association estimates and reflect the sample size, post hoc power analysis is generally not recommended.

Thank you for this comment. We agree that the power analysis applied is redundant given the reported confidence intervals and have therefore removed Table 4 and this analysis from the methods, results and discussion. The discussion has been reworded to describe the wide confidence intervals as an indication of the greater sample size that is needed to provide more precise effect estimates.

"The apparent disagreement in the results of this study and previous literature might be due to statistical power, the wide 95% CIs for all three caries outcome traits reflect the need for much larger sample sizes. We did not see large effect sizes which could be interpreted as suggesting that confounding in the observational literature and publication bias in results of controlled clinical trials has potentially over-estimated the effect sizes, however we also consider other explanations as discussed below."

3. Please write clearly that the study is a two-sample MR in the title, abstract and the introduction section.

Thank you for this comment. This description has been added to the title, abstract and introduction section.

4. One of the selection criteria for SNPs used as proxies for 25(OH)D exposure is “SNPs had independent effects”. The used linkage disequilibrium (LD) R^2 cut-off value should be given.

Thank you for this comment. The SNPs were chosen based on a conditional analysis implemented in GCTA, as reported in the original manuscript. Variants were selected if they reached genome-wide significance conditional on the lead variant in the same locus. Variants situated more than 20,000 base pairs away and variants with LD $R^2 > 0.9$ were excluded. For further details of GCTA-COJO analysis please refer to the section "Approximate Conditional Association Analysis" in the following paper titled "Genome-wide Association Study for Vitamin D Levels Reveals 69 Independent Loci": <https://pubmed.ncbi.nlm.nih.gov/32059762/>.

“c) were conditionally independent of other SNPs in the same genomic locus in conditional analysis (Manousaki et al., 2020).”

5. GWAS of DMFS: DMFS as a count variable has a strongly positively skewed distribution with a large stack of zero counts for those without caries. How the zeros were handled when DMFS scores were generated?

Thank you for this comment. The GWAS for DMFS was carried out in adult populations. DMFS scores were regressed on age, age squared, and study specific-covariates and the residuals were plotted. We did observe skewed distributions for other traits (for example number of teeth), but not for DMFS, where the residuals were approximately normally distributed. This may be because the study population included middle aged and older adults with high disease burden. DMFS residuals were subsequently treated as a continuous trait in GWAS analysis.

6. The F statistic is usually reported in one-sample MR studies to represent strength of the instrumental variables (IVs). In a two-sample setting, the R^2 statistic (a measure of the variance in the exposure explained by the genetic variants) is more proper. In the Results, it reads “In total, these SNPs explain approximately 4.4% of the total variation in 25(OH)D levels in populations of European ancestry”. How was this 4.4% calculated? In the study by Manousaki *et al.*, 138 SNPs explained 4.9% of the variation of 25(OH)D level.

Thank you for this comment. While we aimed to provide some information on the potential strength of the MR instrument, we accept that the F statistic is potentially confusing and have therefore removed this part. We have amended the Results section:

“Strength of Instrumental Variables

The 81 SNPs combined were considered to be a strong proxy for 25(OH)D in the MR study. The SNPs explain approximately 4.4% of the total variation in 25(OH)D levels in populations of European ancestry.”

The R^2 of the 25(OH)D reported in the results was estimated as the sum of variance explained by each SNP in the experiment. The variance of each SNP was given using the

formula provided by the authors of the Vitamin D GWAS paper; variance explained $\approx 2\beta^2 f(1-f)$, where β and f denote the effect estimate and the effect allele frequency of the allele on a standardized phenotype, respectively. This information has been added to the methods section.

"The total variance explained in 25(OH)D by the SNPs was estimated as the sum of the variance explained by each SNP in the experiment. The variance of each SNP was given using the formula provided by the authors of the 25(OH)D GWAS paper: variance explained $\approx 2\beta^2 f(1-f)$, where β and f denote the effect estimate and the effect allele frequency of the allele on a standardized phenotype, respectively (Manousaki et al., 2020)."

7. For Table 2, could the authors rearrange the order of SNPs in the same way as in Table 1 and give chromosome positions for easier reading?

Thank you for the suggestion, Table 2 has been rearranged to the same way as Table 1.

8. The tables and figures should be interpretable by themselves. Add more details in table footnotes and figure legends: e.g. Table 1. Number of participants should be given for the SNP-25(OH)D association. According to the study by Manousaki et al., the effect estimates and P values were based on meta-analysis (n=443,734).

Thank you for the comment. More details have been added to the footnotes and figure legends.

9. Table 3: use OR instead of "Transformed effect", OR and beta correspond to genetically determined one standard deviation increase in natural log-transformed 25(OH)D.

Thank you for the comment. The DMFS effect estimate is not on an odds ratio scale and therefore we prefer to use the term 'transformed effect' for this variable. The column has been renamed to "Odds ratio/transformed effect".

10. Figures 1 and 2: on risk for caries (binary); Figure 3. full name of DMFS.

Thank you for this comment. We have added the information as suggested.

Competing Interests: No competing interests were disclosed.